

TO/6T/30  
JC867 U.S. PTO  
03/19/01

03-21-01

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Application Number

First Inventor

Asutosh Nigam

Title

"Preparation of Light-Emitting, Highly Reflective and/or Metallic-Looking Images on a Substrate Surface"

Attorney Docket Number

8500-0256

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03/19/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:  
Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 38 + Cover]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets \_\_\_\_\_]
5.  Oath or Declaration [Pages 3 pages unsigned]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable*)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney (*when there is an assignee*)
11.  English Translation Document (*if applicable*)
12.  Information Disclosure  Copies of IDS Statement (IDS)/PTO-1449 Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
16.  Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_  
Prior application information: Examiner: \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

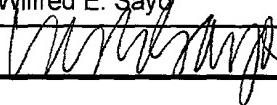
**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number of Bar Code Label  <b>23980</b> <small>PATENT TRADEMARK OFFICE</small>		<b>23980</b> <small>(Insert Customer No. or Attach bar code label here)</small>		<input type="checkbox"/> Correspondence address below	
Name	Dianne E. Reed				
	REED & ASSOCIATES				
Address	3282 Alpine Road				
City	Portola Valley	State	CA	Zip Code	94028
Country	USA	Telephone	(650) 851-8501	Fax	(650) 851-8539
Name (Print/Type)	Dianne E. Reed	Registration No. (Attorney/Agent)	31,292		
Signature				Date	3/19/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commission for Patents, Box Patent Application, Washington, DC 20231.

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to "Director of Patents and Trademarks, Box Patent Application, Washington, DC 20231."			
"Express Mail" Mailing Label No.	EL 567 216 943 WS	Date of Deposit	3.19.01
Name of Person Mailing Paper or Fee(Print/Type)	Wilfred E. Sayo		
Signature		Date	3.19.01

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(Modified) PTO/SB/05 (12/97)

"Express Mail" Mailing Label No.: EL 567 216 943 US

Date of Deposit: March 19, 2001

Type/Printed Name of Person Mailing Paper or Fee: Wilfred E. Sayo

Signature of Person Mailing Paper or Fee: [Signature]

FEE TRANSMITTAL				Complete if Known					
				Application Number					
				Filing Date	Filed Herewith				
				First Named Inventor	Asutosh Nigam				
				Group Art Unit	Not Assigned Yet				
				Examiner Name	Not Assigned Yet				
TOTAL AMOUNT OF PAYMENT		(\$) <u>2382</u>		Attorney Docket Number		<u>8500-0256</u>			
METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)					
<input type="checkbox"/> The commissioner is hereby authorized to charge any additional fees and credit any overpayments to: Deposit Account No.: Deposit Account Name: REED & ASSOCIATES				<b>3. ADDITIONAL FEES</b>					
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <u>Charge the Issue Fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance</u>				Larg Fee Code	Entit y Fee Fee Code	Small Fee Fee Fee Code	Entity (\$)		
				105	130	205	65	Surcharge - late filing fee or oath	
				127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
<input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other				139	130	139	130	Non-English specification	
				147	2,520	147	2,520	For filing a request for reexamination	
				112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
				113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
				115	110	215	55	Extension for reply within first month	
				116	390	216	195	Extension for reply within second month	
				117	890	217	455	Extension for reply within third month	
				118	1,390	218	695	Extension for reply within fourth month	
				128	1,890	228	945	Extension for reply within fifth month	
				119	310	219	155	Notice of Appeal	
				120	310	220	155	Filing a brief in support of an appeal	
				121	270	221	135	Request for oral hearing	
				138	1,510	138	1,510	Petition to institute a public use proceeding	
				140	110	240	55	Petition to revive - unavoidable	
				141	1,240	241	620	Petition to revive - unintentional	
				142	1,240	242	620	Utility issue fee (or reissue)	
				143	440	243	220	Design issue fee	
				144	600	244	300	Plant issue fee	
				122	130	122	130	Petitions to the Commissioner	
				123	50	123	50	Petitions related to provisional applications	
				126	240	126	240	Submissions of Information Disclosure Stmt	
				581	40	581	40	Recording each patent assignment per property (times number of properties)	
				146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
				149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
				Other fee (specify)					
				Other fee (specify)					
				SUBTOTAL (2)	\$1672	*Reduced by Basic Filing Fee Paid		SUBTOTAL (3)	\$
SUBMITTED BY								Complete (if applicable)	
Typed or Printed Name		Dianne E. Reed				Reg. Number		31,292	
Signature		<u>Dianne E. Reed</u>		Date	3/19/01		Deposit Account		